



DAC  
(S) \$  
PATENT

#24

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
**John C. Harvey and James W. Cuddihy:**

Group Art Unit: 2737

Serial No.: 08/477,711

Examiner: FAILE, A.

Filed: June 7, 1995

Attorney Docket: 05634.0312

For: **SIGNAL PROCESSING APPARATUS  
AND METHODS**

**ATTN: APPLICATION PROCESSING DIVISION,  
SPECIAL PROCESSING & CORRESPONDENCE BRANCH**

RECEIVED

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

JAN 4 2001

OFFICE OF PETITIONS

**NOTIFICATION OF CHANGE IN STATUS UNDER 37 C.F.R. § 1.28(B) AND  
PAYMENT OF FEE DEFICIENCIES UNDER 37 C.F.R. § 1.28(C)**

Sir:

Upon review of the claims as filed in the above-referenced application and the "field of use" clause in the application's assignee's license contract with a firm that is a large entity, it has come to the applicant's attention that the present application requires large entity status.

Pursuant to 37 C.F.R. § 1.28(b), Attorneys for Applicants hereby provide notification that Personalized Media Communications, L.L.C. ("PMC"), the assignee of the above referenced application Serial No. 08/477,711 does not qualify for small entity status under M.P.E.P. § 509.02 and 37 C.F.R. § 1.9 for paying reduced patent fees for the above referenced application and that the claim for small entity status for the above referenced application Serial No. 08/477,711 is hereby withdrawn.

Enclosed herewith is assignee's check no. 3245 for the recalculated fees of \$740.00 to convert the above-referenced application from small to large entity status in accordance with 37 C.F.R. § 1.28(c). The following table identifies each of applicant's

01/05/2001 SLUANG1 00000004 08477711

01 FC:699

740.00-0P

Adjustment date: 01/10/2001  
01/08/2001 SLUANG1 00000052 08477711  
03 FC:699 -140.00-0P  
01/10/2001 LGIBBS 00000083 08477711  
01 FC:197 140.00-0P  
01/08/2001 SLUANG1 00000052 08477711  
01 FC:117 890.00 0P  
02 FC:103 450.00 0P  
03 FC:699 140.00 0P

submissions to the Patent and Trademark Office ("PTO") necessitating the enclosed payment and the amount to bring the application in conformity to large entity status.

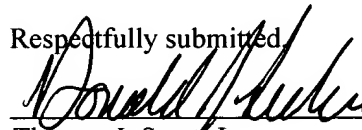
Description of Submission to PTO	Amount Deficient
New application filing:	\$-
Preliminary amendments:	
Response to first action new claim fees:	\$275.00
Response to first action extention of time:	\$465.00
Response to second action new claim fees:	
Response to second action extention of time:	
Response to final action new claim fees:	
Response to final action extention of time:	
1.129(a) submission:	
<b>TOTAL</b>	<b>\$740.00</b>

Applicants respectfully request the records of the PTO be revised to indicate that the above-referenced application should now be considered the application of a large entity.

The Commissioner is hereby authorized to charge or credit the deposit account of **Hunton & Williams**, Deposit Account No. **50-0206**, for any insufficient funds or overpayments in connection with the filing of the instant papers.

Date: December 29, 2000  
**HUNTON & WILLIAMS**  
1900 K Street, N.W.  
12<sup>th</sup> Floor  
Washington, D.C. 20006

Respectfully submitted,



Thomas J. Scott, Jr.  
Reg. No. 27,836  
Donald J. Lecher  
Reg. No. 41,933  
Attorneys for Applicants  
Tel: (202) 955-1938

# Transaction List

Search by

☐ Accounting Date:  Operator ID:

(MMDDYY)

Accounting Date

Starts:

Ends:

☒ Name/Number:

☐ Attny Docket No:

☐ Deposit Account No:



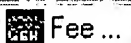
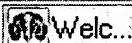
Accounting Date	Operator ID	Seq No	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
11/03/1998	TCOLEY	21	SALE	148	A	110.00	08477711	
06/22/1998	SSALEEKU	20	SALE	146	A	790.00	08477711	
06/22/1998	SSALEEKU	19	SALE	115	A	110.00	08477711	
07/09/1997	NVILLARI	82	RP	701	A	-242.00	08477711	083038
07/09/1997	NVILLARI	81	SALE	203	A	-275.00	08477711	
07/09/1997	NVILLARI	80	SALE	217	A	-465.00	08477711	
09/20/1995	310RR	12601	CRDA	101	✓ A	365.00	08477711	
08/07/1995	240AH	5102	CRDA	101	✓ A	365.00	08477711	
08/07/1995	240AH	5101	CRDA	201	A	-365.00	08477711	

083038  
RECEIVED  
JAN 4 2001  
OFFICE OF PETITIONS



Adjustment Detail

Authorization Detail



2:44 PM

Adjustment date: 01/08/2001 SLUANG1  
07/09/1997 NVILLARI 00000040 08477711  
01 FC:217 -465.00 OP

Adjustment date: 01/08/2001 SLUANG1  
07/09/1997 NVILLARI 00000040 08477711  
02 FC:203 -275.00 OP 25

Adjustment date: 01/08/2001 SLUANG1  
01/05/2001 SLUANG1 00000004 08477711  
01 FC:699 -740.00 OP ✓